Substance Misuse Policy

1. Introduction

- 1.1 The Council is committed to ensuring the health, safety and welfare of its employees and those affected by its activities. It will take all reasonable steps to reduce, if not eliminate, the risk of injuries or incidents occurring due to individuals suffering from the effects of alcohol or substance abuse. Consequently, the Council reserves the right under this policy to exercise alcohol and drug testing of its employees. This policy applies to all employees, including management.
- 1.2 No employee shall attend for work in an unfit state due to the misuse of alcohol or drugs. Employees and contractors are prohibited from drinking alcohol (or misusing drugs) in the workplace, whilst undertaking the business of the Council, or immediately prior to or during a break in a working shift. These actions will be treated and investigated as a matter of potential misconduct under the Council's Disciplinary Procedure (or appropriate procedure for a contractor). An unfit state may include, but not be limited to cases where:
 - The individual is over the legal alcohol limit stipulated for driving (ie 35mcg/100ml of breath alcohol concentration).
 - In the opinion of management, the individual's performance is impaired. In respect to alcohol, this may be at less than the legal limit stipulated for driving.
 - In the opinion of management, the individual's behaviour may cause embarrassment, distress or offence to others.
- 1.3 All reasonable steps will be taken by the Council to prevent employees and contractors carrying out work-related activities if they are considered to be unfit or unsafe to undertake the work as a result of alcohol consumption or substance misuse.
- 1.4 The Council expressly prohibits the use by an employee of any controlled drugs or any prescription drugs that have not been prescribed for the user or which are not used in the manner described in the prescription. It is a criminal offence to possess, use or supply a controlled substance. If any such incidents take place during an employee's working day or outside normal hours of work at a Council function, they will be regarded as serious and will be investigated under the Council's Disciplinary Procedure. The outcome of any hearing resulting from such an investigation may be disciplinary action, including dismissal and possible reporting to the police.
- 1.5 No employee or other person under the Council's direction shall, in connection with any work-related activity:
 - report, or endeavour to report, for duty having consumed drugs or alcohol likely to render themselves unfit or unsafe for work;
 - consume or be under the influence of drugs or alcohol while on duty;
 - · store drugs or alcohol in personal areas such as lockers and desk drawers; or
 - attempt to sell or supply drugs or alcohol to any other employee or other person on the Council premises, in Council vehicles or at any time during work time.

1.6 The policy has been written with reference to relevant legislation including, but not limited to: Health and Safety at Work Act 1974 (Section 2), Management of Health and Safety at Work Regulations 1999, Road Traffic Act 1988 and Human Right Act 1988.

2. Support

- 2.1 Employees must inform their line manager or Service Manager if they are taking any medication that may have an effect on their ability to carry out their work safely, and must follow any instructions subsequently given. Where necessary and appropriate a manager will review a risk assessment for a person carrying out particular tasks who may be taking such medication in order to put into place appropriate control measures.
- 2.2 In general terms, any employee suffering from drug or alcohol dependency should declare such dependency to their manager or Service Manager. The Council will subsequently provide reasonable assistance and support.
- 2.3 Where an employee has a dependency that has an impact on their normal work, or which prevents them undertaking some or all of their duties, they will be managed within a capability framework. This means that a length of time over which an improvement is expected will be determined and a range of support measures will be agreed. Should the employee not be able to demonstrate that the drug or alcohol use is no longer an issue in relation to their work within this timescale, an employment decision will be taken about the employee's suitability to continue and the outcome may include redeployment or dismissal from the Council.
- 2.4 Absences relating to this dependency or its treatment will be dealt with under the Council's Absence Management Policies as appropriate.
- 2.5 Loss, damage or accidents arising as a result of an employee being under the influence of alcohol or drugs will be investigated and dealt with appropriately through the Council's Disciplinary Procedure as will occasions when an employee has taken part in safety-critical work whilst being under the influence of such substances. This will be the case whether or not any dependency had previously been declared.

3. With-cause alcohol and drug testing

- 3.1 A manager may require an employee to be tested for alcohol and drugs in cases where they have had any involvement in a workplace accident, "near miss" or in an incident that has caused or could have caused a danger to health or safety.
- 3.2 Testing may also be carried out where a manager has grounds to believe or suspect that the employee is or may be under the influence of alcohol or drugs.
- 3.3 Any employee who notices signs of alcohol or drug abuse in a colleague should report their observations or suspicions in confidence to the employee's line manager.

4. Random alcohol and drug testing

4.1 Random alcohol and drug testing will be carried out on employees who work in safety-critical jobs, including those working with machinery, whose job duties involve driving, or working in potentially dangerous environments or whose job involves responsibility for the safety of others. A list of jobs defined by the Council as "safety-critical" as at August 2013 is shown at Appendix A; this list will be amended or added to periodically by management following consultation with trade unions. Management will determine the timing, frequency and sample size of random testing exercises.

5. Carrying out tests

- 5.1 Asking and employee to undergo alcohol and drug testing does not necessarily indicate that there is any suspicion of wrongdoing.
- 5.2 Alcohol and drug testing will be carried out only by a qualified and competent person. On each occasion of testing, the Service Manager or other appropriate senior manager will determine if a trained and appropriate employee or an external service provider will be used to conduct the testing. Tests used will be industry standard and will be reliable, credible and safe. Tests are carried out with the least possible intrusion into employees' privacy.
- 5.3 Test results will be held securely and treated confidentially.
- 5.4 Employees who are tested will be notified of the result before this is disclosed to their line manager. Immediately after this notification to the employee, the line manager will be notified of the results of testing.
- 5.5 Refusal to submit to an alcohol and drug test will be treated as a misconduct issue, potentially gross misconduct, and dealt with within the Council's Disciplinary Procedure.

6. Action after a positive test

- 6.1 If a test proves positive and the employee has been engaged in safety critical activities at the time of the test, or the test has been conducted because of an accident or other similar event or near-miss, the employee will be required to take part in an investigatory interview as defined within the Council's Disciplinary Procedure. This may lead to a formal hearing and appropriate formal action being taken which may include dismissal. If action short of dismissal is taken, it may be appropriate to establish a more regular drug or alcohol monitoring programme with an employee over an extended period of time to ensure that use of these substances is not affecting work performance or safety.
- 6.2 If work performance appears to be adversely affected by the use of drugs or alcohol the employee will be managed within a capability framework in which specific improvements within defined timescales will be made clear. Failure to meet these required improvements may lead to redeployment or dismissal. It may be appropriate to establish a more regular drug or alcohol monitoring programme with an employee over an extended period of time to ensure that use of these substances is not affecting work performance.

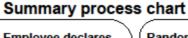
- 6.3 If a test proves positive but the case criteria of the previous two paragraphs do not apply, the employee will be interviewed by a senior departmental manager within a more general welfare framework. The purpose of this will be to identify the support that can be offered by the Council in order to assist the employee with any lifestyle changes that they may wish to make.
- 6.4 Generally, a result will considered "positive" where the presence of alcohol above 35mcg/100ml of breath alcohol concentration is detected or where there is the presence of controlled drugs.

7. Complaints

7.1 Any complaint about the way in which an alcohol and drug test has been conducted should be stated in writing to a senior departmental manager who will investigate the complaint through the Council's Disciplinary Procedure.

8. Equality issues

8.1 This policy will not be used in a discriminatory manner to single out and treat differently based on a protected characteristic defined in the Equality Act 2010, any individual employee or group of employees. Testing will be carried out as described above. Each employee's dignity will be respected at all times.

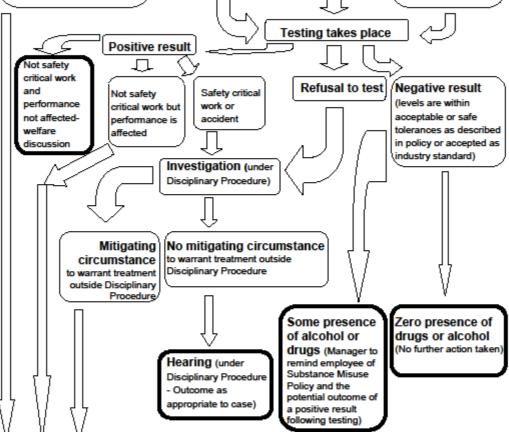


Employee declares alcohol or drug (controlled or not) use that may have an effect on work (performance or safety). No incident, accident or near miss has occurred.

Random testing has taken place. No incident. accident or near miss has occurred.

Incident, accident or near miss has occurred.

Employee suspected of being under affect of alcohol or drugs; performance is affected or potentially safety risk.



Manage within capability framework Issues to consider:

- Risk assessment (temporary and permanent)
- Apply appropriate control measures
- Appropriate support (internal and external)
- Consider appropriateness and possibility of temporary or permanent redeployment.
 If performance or ability to undertake work safely is affected, identify improvement required within
- Introduce periodic/ extended testing regime
- Consider alternative options if required improvements not achieved (including redeployment or dismissal)

Appendix A

Safety-critical jobs

Team	Post ID	Post Description	
Leisure and Culture	LAR01	Operational Manager	
Leisure and Culture	LAR02	Administration Officer/Duty Manager	
Leisure and Culture	LAR04	Duty Manager	
Leisure and Culture	LAR20A	Assistant Manager	
Leisure and Culture	LAR20B	Assistant Manager	
Leisure and Culture	LAR30	Duty Manager	
Leisure and Culture	LAR30CAS	Duty Manager	
Leisure and Culture	LAR40A	Leisure Attendant	
Leisure and Culture	LAR40B	Leisure Attendant	
Leisure and Culture	LAR40B	Leisure Attendant	
Leisure and Culture	LAR40C	Leisure Attendant	
Leisure and Culture	LAR40CAS	Leisure Attendant	
Leisure and Culture	LAR40D	Leisure Attendant	
Leisure and Culture	LAR40F	Leisure Attendant	
Leisure and Culture	LAR40G	P/T Leisure Attendant/Swim Instructor	
Leisure and Culture	LAR80A	Female Centre Attendant	
Leisure and Culture	LAR80B	Male Centre Attendant	
Leisure and Culture	LAR80C	Female Centre Attendant	
Leisure and Culture	LAR80CAS	Centre Attendant	
Leisure and Culture	LAR80D	Centre Attendant	
Leisure and Culture	LAR80E	Centre Attendant	
Leisure and Culture	LAR80F	Centre Attendant	
Leisure and Culture	LAR80G	Centre Attendant	
Leisure and Culture	LAR80H	Centre Attendant	
Leisure and Culture	LCA01	Operational Manager	
Leisure and Culture	LCA02	Fitness Development Officer/Duty Mgr	
Leisure and Culture	LCA20B	Assistant Manager	
Leisure and Culture	LCA20CAS	Casual Assistant Manager	
Leisure and Culture	LCA30	Duty Manager	
Leisure and Culture	LCA30CAS	Duty Manager	
Leisure and Culture	LCA60A	Fitness Advisor	
Leisure and Culture	LCA60B	Fitness Advisor	
Leisure and Culture	LCA60CAS	Fitness Advisor	
Leisure and Culture	LCA80A	Centre Attendant	
Leisure and Culture	LCA80B	Centre Attendant	
Leisure and Culture	LCA80C	Centre Attendant	
Leisure and Culture	LCA80CAS	Centre Attendant	
Leisure and Culture	LCA80D	Centre Attendant	
Leisure and Culture	LCA80E	Centre Attendant	
Leisure and Culture	LCA80F	Centre Attendant	

Leisure and Culture

LCA80G

Centre Attendant

Leisure and Culture

LCA80H

Centre Attendant

Leisure and Culture

LCA85A

Sportshall Attendant

Leisure and Culture

LCA85B

Sportshall Attendant

Leisure and Culture

LCA85CAS

Sportshall Attendant

Leisure and Culture LCF01 Manager

Leisure and Culture LCF02 Operations Manager

Leisure and Culture LCF04 Swim Development Officer

Leisure and Culture LCF04CAS Casual Swim Development Officer

Leisure and Culture
LCF20A
Assistant Manager
Leisure and Culture
LCF20B
Assistant Manager
Leisure and Culture
LCF20C
Assistant Manager
Leisure and Culture
LCF30
Duty Manager

Leisure and Culture Leisure and Culture LCF30CAS **Duty Manager** Leisure and Culture LCF40A Leisure Attendant Leisure and Culture LCF40B Leisure Attendant Leisure and Culture Leisure Attendant LCF40C Leisure and Culture LCF40D Leisure Attendant Leisure and Culture LCF40E Leisure Attendant Leisure and Culture LCF40F Leisure Attendant Leisure and Culture LCF40H Leisure Attendant Leisure and Culture LCF60A Fitness Advisor Leisure and Culture LCF60B Fitness Advisor Fitness Advisor Leisure and Culture LCF60C

Leisure and Culture

LCF60B

Fitness Advisor

Leisure and Culture

LCF60C

LCF60CAS

Fitness Advisor

Leisure and Culture

LCF60D

Fitness Advisor

Leisure and Culture

LCF60G

Fitness Advisor

Leisure and Culture

LCF60G

Fitness Advisor

Leisure and Culture LCF65CAS Fitness Advisor - Youth Gym
Leisure and Culture LCF65CAS Fitness Advisor - Youth Gym

Leisure and Culture LCF80A Centre Attendant Leisure and Culture LCF80B Centre Attendant Leisure and Culture LCF80C Centre Attendant Leisure and Culture LCF80CAS Centre Attendant Leisure and Culture LCF80D Centre Attendant Leisure and Culture LCF80G Centre Attendant Leisure and Culture Centre Attendant LCF80J Leisure and Culture LCF80L Centre Attendant Leisure and Culture LCF80M Centre Attendant Leisure and Culture LCF80N Centre Attendant

Leisure and Culture LFA20A Caretaker Burton Road Leisure and Culture LFA20A Caretaker Burton Road Leisure and Culture LFA20B Caretaker Arnold Hill Leisure and Culture LFA20C Caretaker Arnold Hill

Leisure and Culture LFA20CAS Caretaker

Leisure and Culture LFA20D Caretaker Killisick Leisure and Culture LFA20E Caretaker Killisick Caretaker Ponds Hill Leisure and Culture LFA20F Leisure and Culture LFA20G Caretaker Ponds Hill Leisure and Culture LFA20H Caretaker Westdale Lane Leisure and Culture LFA20I Caretaker Westdale Lane

Leisure and Culture LFA20L Caretaker Brickyard
Leisure and Culture LRE01 Operations Manager

Leisure and Culture LRE02 Fitness Development Officer/Duty Office

Leisure and Culture LRE20A Assistant Manager
Leisure and Culture LRE20B Assistant Manager
Leisure and Culture LRE20C Assistant Manager

Leisure and Culture LRE30 Temporary Duty Manager

Leisure and Culture LRE30CAS **Duty Manager** Leisure and Culture LRE60A Fitness Advisor Fitness Advisor Leisure and Culture LRE60B Leisure and Culture LRE60C Fitness Advisor Leisure and Culture LRE60CAS Fitness Advisor Leisure and Culture LRE60E Fitness Advisor Leisure and Culture LRE85CAS Sportshall Attendant Leisure and Culture LRH01 **Operations Manager** Leisure and Culture LRH20A Assistant Manager Leisure and Culture LRH20B **Assistant Manager** Leisure and Culture LRH20C Assistant Manager Leisure and Culture LRH30CAS **Duty Manager** Leisure and Culture LRH40A Centre Assistant Leisure and Culture Centre Assistant LRH40B Leisure and Culture LRH40C Centre Assistant Leisure and Culture LRH40CAS Centre Assistant

Planning and Economic Development EPB02A Senior Building Control Officer
Planning and Economic Development EPB02B Senior Building Control Officer
Planning and Economic Development EPB02D Senior Building Control Officer

Planning and Economic Development EPB03B Assistant Building Control Officer/BCO

Asset Management DPS09A Fitter
Asset Management DPS09B Fitter
Asset Management DRR11B Fitter
Asset Management DRR11C Fitter

Asset Management DRR12 Assistant Fitter
Asset Management DTF06 Caretaker
Asset Management DTF07A Caretaker
Asset Management DTF07B Caretaker

Asset Management DTS01 Transport Services Manager

Asset Management DTS02 Workshop Supervisor

Parks and Street Care DPS06A PASC Skilled Team Worker - Arborist
Parks and Street Care DPS07A Parks & Street Care Skilled Team Worker

Parks and Street Care	DPS08	Parks Development Officer
Parks and Street Care	DPS10B	Parks & Street Care Skilled Team Worker
Parks and Street Care	DPS10C	Parks & Street Care Skilled Team Worker
Parks and Street Care	DPS10D	Parks & Street Care Skilled Team Worker
Parks and Street Care	DPS10E	Parks & Street Care Skilled Team Worker
Parks and Street Care	DPS10F	Parks & Street Care Skilled Team Worker
Parks and Street Care	DPS10G	Parks & Street Care Skilled Team Worker
Parks and Street Care	DPS10J	Parks & Street Care Skilled Team Worker
Parks and Street Care	DPS10J	Parks & Street Care Skilled Team Worker
Parks and Street Care	DPS10K	Parks & Street Care Skilled Team Worker
Parks and Street Care	DPS10L	Parks & Street Care Skilled Team Worker
Parks and Street Care	DPS10M	Parks & Street Care Skilled Team Worker
Parks and Street Care	DPS10N	Parks & Street Care Skilled Team Worker
Parks and Street Care	DPS10P	Parks & Street Care Skilled Team Worker
Parks and Street Care	DPS11A	Parks & St Care Semi-skilled Team Worker
Parks and Street Care	DPS11AA	Parks & St Care Semi-skilled Team Worker
Parks and Street Care	DPS11AD	Parks & St Care Semi-skilled Team Worker
Parks and Street Care	DPS11AE	Parks & St Care Semi-skilled Team Worker
Parks and Street Care	DPS11AF	Parks & St Care Semi-skilled Team Worker
Parks and Street Care	DPS11B	Parks & St Care Semi-skilled Team Worker
Parks and Street Care	DPS11C	Parks & St Care Semi-skilled Team Worker
Parks and Street Care	DPS11D	Parks & St Care Semi-skilled Team Worker
Parks and Street Care	DPS11E	Parks & St Care Semi-skilled Team Worker
Parks and Street Care	DPS11F	Parks & St Care Semi-skilled Team Worker
Parks and Street Care	DPS11I	Parks & St Care Semi-skilled Team Worker
Parks and Street Care	DPS11L	Parks & St Care Semi-skilled Team Worker
Parks and Street Care	DPS11M	Parks & St Care Semi-skilled Team Worker
Parks and Street Care	DPS110	Parks & St Care Semi-skilled Team Worker
Parks and Street Care	DPS11P	Parks & St Care Semi-skilled Team Worker
Parks and Street Care	DPS11Q	Parks & St Care Semi-skilled Team Worker
Parks and Street Care	DPS11R	Parks & St Care Semi-skilled Team Worker
Parks and Street Care	DPS11S	Parks & St Care Semi-skilled Team Worker
Parks and Street Care	DPS12B	Parks and Street Care Team Worker
Parks and Street Care	DPS12C	Parks and Street Care Team Worker
Parks and Street Care	DPS12D	Parks and Street Care Team Worker
Parks and Street Care	DPS12E	Parks and Street Care Team Worker
Parks and Street Care	DPS13A	Parks & Street Care Apprentice
Parks and Street Care	DPS13B	Parks & Street Care Apprentice
Parks and Street Care	DPS15	Play Area Maintenance Worker
Parks and Street Care	LRS15A	Urban Park Ranger
Parks and Street Care	LRS15B	Urban Park Ranger
Public Protection	EEE07	Pest Control Operator
Public Protection	EEE09A	Neighbourhood Warden
Public Protection	EEE09C	Neighbourhood Warden
Public Protection	EEE09D	Neighbourhood Warden

Public Protection	EEE09E	Neighbourhood Warden
Public Protection	EEE09F	Neighbourhood Warden

Waste Operations	DRR05A	Refuse Driver
Waste Operations	DRR05B	Refuse Driver
Waste Operations	DRR05C	Refuse Driver
Waste Operations	DRR05D	Refuse Driver
Waste Operations	DRR05E	Refuse Driver
Waste Operations	DRR05F	Refuse Driver
Waste Operations	DRR05G	Refuse Driver
Waste Operations	DRR05H	Refuse Driver
Waste Operations	DRR05I	Refuse Driver
Waste Operations	DRR05J	Refuse Driver
Waste Operations	DRR05K	Refuse Driver
Waste Operations	DRR05L	Refuse Driver
Waste Operations	DRR05M	Refuse Driver
Waste Operations	DRR05O	Refuse Driver
Waste Operations	DRR05P	Refuse Driver

Waste Operations DRR05Q Waste Services Response Driver

Waste Operations DRR05R Refuse Driver

Waste Operations DRR05S Waste Services Response Driver

Waste Operations DRR05T Refuse Driver **Waste Operations** DRR05U Refuse Driver Refuse Loader Waste Operations DRR06A **Waste Operations** DRR06B Refuse Loader Waste Operations DRR06C Refuse Loader Waste Operations DRR06CAS Refuse Loader Waste Operations DRR06D Refuse Loader Waste Operations DRR06E Refuse Loader **Waste Operations** DRR06G Refuse Loader Waste Operations DRR06H Refuse Loader **Waste Operations** DRR06I Refuse Loader Waste Operations DRR06J Refuse Loader Waste Operations DRR06K Refuse Loader Waste Operations DRR06L Refuse Loader Waste Operations DRR06M Refuse Loader Waste Operations DRR06N Refuse Loader Waste Operations **DRR060** Refuse Loader Waste Operations DRR06P Refuse Loader **Waste Operations** DRR06Q Refuse Loader Waste Operations DRR06R Refuse Loader Waste Operations DRR06S Refuse Loader DRR06T Refuse Loader Waste Operations Waste Operations DRR06U Refuse Loader **Waste Operations** DRR06V Refuse Loader

DRR06W

Refuse Loader

Waste Operations

Waste Operations	DRR06X	Refuse Loader
Waste Operations	DRR06Y	Refuse Loader
Waste Operations	DRR07A	Refuse Driver- Recycling
Waste Operations	DRR07B	Refuse Driver- Recycling
Waste Operations	DRR07C	Refuse Driver- Recycling
Waste Operations	DRR07D	Refuse Driver- Recycling
Waste Operations	DRR07F	Refuse Driver- Recycling
Waste Operations	DRR07G	Refuse Driver- Recycling
Waste Operations	DRR07H	Refuse Driver- Recycling
Waste Operations	DRR07I	Refuse Driver- Recycling
Waste Operations	DRR07J	Refuse Driver- Recycling
Waste Operations	DRR08A	Recycling Loader
Waste Operations	DRR08B	Recycling Loader
Waste Operations	DRR08C	Recycling Loader

Updated August 2013